

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
NIT-156-05

SERIAL NO.

10/787,086

LIST OF DOCUMENTS CITED BY APPLICANT
(Use several sheets if necessary)APPLICANT
T. KATO et alFILING DATE
February 27, 2004GROUP
2874

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
8M8	AA	5,737,467	04/1998	Kato et al			
8M8	AB	5,684,903	11/1997	Kyomasu et al			
8M8	AC	5,013,495	05/1991	Noba et al			
8M8	AD	4,756,592	07/1988	Sasayama et al			
8M8	AE	4,410,469	10/1983	Katagiri et al			
8M8	AF	4,466,009	08/1984	Konishi et al			
8M8	AG	5,727,105	03/1998	Nagata et al			
8M8	AH	6,170,996	01/2001	Miura et al			
PMR	AI	6,282,350	08/2001	Takahashi et al			
PMR	AJ	6,282,352	08/2001	Kato et al			
PMR	AK	6,220,764	04/2001	Kato et al			

FOREIGN PATENT DOCUMENTS

		DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
8M8	AL	8-15576	01/19/96	Japan			<input type="checkbox"/>	<input type="checkbox"/>
8M8	AM	8-248274	09/27/96	Japan			<input type="checkbox"/>	<input type="checkbox"/>
8M8	AN	2-271308	11/06/90	Japan			<input type="checkbox"/>	<input type="checkbox"/>
8M8	AO	7-35954	02/07/95	Japan			<input type="checkbox"/>	<input type="checkbox"/>
PMR	AP	3-17605	01/25/91	Japan			<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

PMR	AR	Robertson, Michael J., et al, "The Expanded Mode Laser-A Route to Low Cost Optoelectronics," IEICE Trans. Electron, Vol. E80-C, No. 1, January 1997, pp. 17-23.
		Collins, J.V., et al, "Laser Diode Chip and Packaging Technology," Proceedings, SPIE, Vol. 2610, pp. 108-116.
PMR	AS	Hall, I.P., "Novel Techniques for Low-Cost, High Performance Optoelectronic Component Assembly," 9th European Hybrid Microelectronics Conference, Nice, France, June 1993, pp. 44-47.
PMR	AT	

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31 MAY 04

* EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT T. KATO et al			
		FILING DATE February 27, 2004	GROUP 2874		

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If Appropriate)
SNY	AA 6,457,877	10-2002	Kato et al			
SNY	AB 6,632,027	10-2003	Yoshida et al			
AC						
AD						
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AK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES <input type="checkbox"/> NO <input checked="" type="checkbox"/>
SNY	AL 2-271308	11/06/90	Japan			<input type="checkbox"/> <input checked="" type="checkbox"/>
SNY	AM 1-304405	12/08/89	Japan			<input type="checkbox"/> <input checked="" type="checkbox"/>
SNY	AN 7-321407	12/08/95	Japan			<input type="checkbox"/> <input checked="" type="checkbox"/>
	AO					<input type="checkbox"/> <input checked="" type="checkbox"/>
	AP					<input type="checkbox"/> <input checked="" type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

SNY	AR	Hall, I.P., "Non-hermetic Encapsulation and Assembly Techniques for Optoelectronic Applications," 10th European Microelectronics Conference, May 14-17, 1995, Copenhagen, Denmark.
		Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, SC-3-14, pp. 478-479.
SNY	AT	Proceedings of the Conference of the Institute of Electronics, Information and Communication Engineers, 1996, Vol. 1, C-216, page 216.

EXAMINER <i>Linda Young</i>	DATE CONSIDERED <i>31 MAY 04</i>
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	DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO
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AM						<input type="checkbox"/>	<input type="checkbox"/>
AN						<input type="checkbox"/>	<input type="checkbox"/>
AO						<input type="checkbox"/>	<input type="checkbox"/>
AP						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

AS	AR	Proceedings of the Conference of the Institute of Electronics, Information
		and Communication Engineers, 1996, Vol. 1, C-207, page 207.
AT		

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